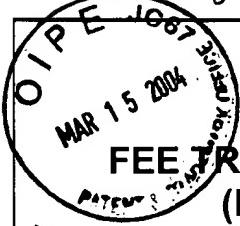


Image

1765



# FEE TRANSMITTAL SHEET (FOR FY 2004)

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1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP						<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th colspan="2">Large Entity</th> <th colspan="2">Small Entity</th> <th></th> <th></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td>1051</td><td>130</td><td>2051</td><td></td><td>65 Surcharge - Late filing fee or oath</td><td>\$</td></tr> <tr><td>1052</td><td>50</td><td>2052</td><td></td><td>25 Surcharge - late provisional filing fee or cover sheet</td><td>\$</td></tr> <tr><td>1053</td><td>130</td><td>1053</td><td></td><td>130 Non-English specification</td><td>\$</td></tr> <tr><td>1812</td><td>2,520</td><td>1812</td><td></td><td>2,520 For Filing a Request for ex parte Reexamination</td><td>\$</td></tr> <tr><td>1804</td><td>920</td><td>1804</td><td></td><td>920 Requesting publication of SIR prior to Examiner action</td><td>\$</td></tr> <tr><td>1805</td><td>1,840</td><td>1805</td><td></td><td>1,840 Requesting publication of SIR after Examiner action</td><td>\$</td></tr> <tr><td>1251</td><td>110</td><td>2251</td><td></td><td>55 Extension for reply within first month</td><td>\$</td></tr> <tr><td>1252</td><td>420</td><td>2252</td><td></td><td>210 Extension for reply within 2<sup>nd</sup> month</td><td>\$</td></tr> <tr><td>1253</td><td>950</td><td>2253</td><td></td><td>475 Extension for reply within 3<sup>rd</sup> month</td><td>\$</td></tr> <tr><td>1254</td><td>1,480</td><td>2254</td><td></td><td>740 Extension for reply within 4<sup>th</sup> month</td><td>\$</td></tr> <tr><td>1255</td><td>2,010</td><td>2255</td><td></td><td>1,005 Extension for reply within 5<sup>th</sup> month</td><td>\$</td></tr> <tr><td>1401</td><td>330</td><td>2401</td><td></td><td>165 Notice of Appeal</td><td>\$</td></tr> <tr><td>1402</td><td>330</td><td>2402</td><td></td><td>165 Filing a brief in support of an appeal</td><td>\$</td></tr> <tr><td>1403</td><td>290</td><td>2403</td><td></td><td>145 Request for oral hearing</td><td>\$</td></tr> <tr><td>1814</td><td>110</td><td>2814</td><td></td><td>55 Terminal Disclaimer Fee</td><td>\$</td></tr> <tr><td>1452</td><td>110</td><td>2452</td><td></td><td>55 Petition to revive – unavoidable</td><td>\$</td></tr> <tr><td>1453</td><td>1,330</td><td>2453</td><td></td><td>665 Petition to revive – unintentional</td><td>\$</td></tr> <tr><td>1501</td><td>1,330</td><td>2501</td><td></td><td>665 Utility/Reissue issue fee</td><td>\$</td></tr> <tr><td>1502</td><td>480</td><td>2502</td><td></td><td>240 Design issue fee</td><td>\$</td></tr> <tr><td>1503</td><td>640</td><td>2503</td><td></td><td>320 Plant issue fee</td><td>\$</td></tr> <tr><td>1460</td><td>130</td><td>1460</td><td></td><td>130 Petitions to the Commissioner</td><td>\$</td></tr> <tr><td>1807</td><td>50</td><td>1807</td><td></td><td>50 Processing fee under 37 CFR 1.17(q)</td><td>\$</td></tr> <tr><td>1806</td><td>180</td><td>1806</td><td></td><td>180 Submission of IDS</td><td>\$</td></tr> <tr><td>8021</td><td>40</td><td>8021</td><td></td><td>40 Recording each patent assignment per property (times number of properties)</td><td>\$</td></tr> <tr><td>1801</td><td>770</td><td>2801</td><td></td><td>385 Request for Continued Examination (RCE)</td><td>\$</td></tr> <tr> <td colspan="6" style="text-align: right;">Other fee (specify)</td> <td colspan="4" style="text-align: right;"><b>Subtotal (3) \$ 0</b></td> </tr> <tr> <td colspan="6"></td> <td colspan="4" style="text-align: right;"><b>Total Amount of Payment: \$ 0</b></td> </tr> <tr> <th colspan="10">Submitted by:</th> </tr> <tr> <td colspan="3">Name: Mark W. Roberts, Ph.D.</td> <td colspan="3">Reg. No.: 46,160</td> <td colspan="4">Telephone: (206) 903-8800</td> </tr> <tr> <td colspan="3">Signature: </td> <td colspan="3"></td> <td colspan="4">Date: 03-11-04</td> </tr> </tbody> </table>				Large Entity		Small Entity				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee paid	1051	130	2051		65 Surcharge - Late filing fee or oath	\$	1052	50	2052		25 Surcharge - late provisional filing fee or cover sheet	\$	1053	130	1053		130 Non-English specification	\$	1812	2,520	1812		2,520 For Filing a Request for ex parte Reexamination	\$	1804	920	1804		920 Requesting publication of SIR prior to Examiner action	\$	1805	1,840	1805		1,840 Requesting publication of SIR after Examiner action	\$	1251	110	2251		55 Extension for reply within first month	\$	1252	420	2252		210 Extension for reply within 2 <sup>nd</sup> month	\$	1253	950	2253		475 Extension for reply within 3 <sup>rd</sup> month	\$	1254	1,480	2254		740 Extension for reply within 4 <sup>th</sup> month	\$	1255	2,010	2255		1,005 Extension for reply within 5 <sup>th</sup> month	\$	1401	330	2401		165 Notice of Appeal	\$	1402	330	2402		165 Filing a brief in support of an appeal	\$	1403	290	2403		145 Request for oral hearing	\$	1814	110	2814		55 Terminal Disclaimer Fee	\$	1452	110	2452		55 Petition to revive – unavoidable	\$	1453	1,330	2453		665 Petition to revive – unintentional	\$	1501	1,330	2501		665 Utility/Reissue issue fee	\$	1502	480	2502		240 Design issue fee	\$	1503	640	2503		320 Plant issue fee	\$	1460	130	1460		130 Petitions to the Commissioner	\$	1807	50	1807		50 Processing fee under 37 CFR 1.17(q)	\$	1806	180	1806		180 Submission of IDS	\$	8021	40	8021		40 Recording each patent assignment per property (times number of properties)	\$	1801	770	2801		385 Request for Continued Examination (RCE)	\$	Other fee (specify)						<b>Subtotal (3) \$ 0</b>										<b>Total Amount of Payment: \$ 0</b>				Submitted by:										Name: Mark W. 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PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Non-Fee Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

March 11, 2004  
Date

A handwritten signature of Phoebe E. Pogson.

Phoebe E. Pogson

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. : 09/915,658

Confirmation No. : 9163

Applicants : Gundu M. Sabde and Whonchee Lee

Filed : July 25, 2001

Attorney Docket No.: 500163.04

Art Unit : 1765

Customer No. : 27,076

Examiner : Kin Chan Chen

Title : METHODS AND APPARATUSES FOR PLANARIZING MICROELECTRONIC  
SUBSTRATE ASSEMBLIES

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Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE UNDER 37 C.F.R. § 1.111**

Sir:

Applicants acknowledge receipt of the Office Action dated January 7, 2004.

Please amend the above-identified application as follows:

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 5 of this paper.

Remarks begin on page 7 of this paper.